Jam

JUN 2 2 2006 W

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/765,430 Filing Date TRANSMITTAL January 26, 2004 First Named Inventor **FORM** Guillermo J. Tearney Art Unit **Examiner Name** To be assigned (to be used for all correspondence after initial filing) Attorney Docket Number 036140/US - 475387-00020 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): PTO-1449 form with references and return Request for Refund **Express Abandonment Request** receipt postcard CD, Number of CD(s)\_ Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Dorsey & Whitney, L.L.P. Signature Printed name Gary Abeley, Esq. Date Reg. No. June 19, 2006 Reg. No. 40,479 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date June 19, 2006 Typed or printed name Gary Abelev, Esq.

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

036140/US - 475387-00020 PATENT



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: Guillermo J. Tearney et al.

Serial No.

: 10/765,430

Filed

January 26, 2004

Entitled

SYSTEM AND METHOD FOR IDENTIFYING TISSUE USING

LOW-COHERENCE INTERFEROMETRY

Group Art Unit

3737

Examiner

To be assigned

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 I hereby certify that this document is being sent via First Class U. S. mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this day of June 19, 2006.

(Signature)

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449, and respectfully request that the listed documents be considered by the Examiner and made of record in the above-captioned application. Copies of the United States patent references listed on the Form PTO-1449 are not enclosed, but the PCT, foreign and non-patent references are enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under

036140/US - 475387-00020

**PATENT** 

United States law, applicants reserve the right to present to the Office the relevant facts and law

regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the

patentability of the disclosed invention over the listed documents, should the documents be

applied against the claims of the present application.

This submission is being filed before any action by the U.S. Patent and

Trademark Office on the merits. Therefore, applicants do not believe that any fee is due in

connection with the submission of this paper. However, if any fee is due, or if any overpayment

has been made, the Commissioner is authorized to charge any such fee or credit any

overpayment, to our Deposit Account No. 50-2054.

Respectfully submitted,

DORSEY & WHITNEY, LLP

Gary Abelev

PTO Reg. No. 40,479

Attorneys for Applicants

(212) 415-9371

4848-5070-3104\1

2

	TO 144												Page 1 of 2	-
Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office											Oocket No. D/US – 475387-00	Serial No. 10/765,430		
INF	<b>E</b> ∕∏	20 20	BY A	ISCI APPI I she	LICA	ANT			MENT	Applic Guiller	ant(s) mo J. Tearney		·	
TUN S	2 2006	<u>,                                    </u>	_							Filing Januar	Date y 26, 2004		Group 3737	
EMET	RADEMARK	<del>5</del> /					J	J.S. 1	PATENT	DOCU	MENTS			
Exam. Init.		Document No. Date		Date		Name	Class	Subclass	Filing Date if Appropriate					
	2002	0	1	2	2	2	4	6	September 2002	er 5,	Tearney et al. **			
		6	6	8	7	0	1	0	February	2004	Horii et al.			
		4	5	8	5	3	4	9	April 29,	1986	Gross et al.			
		5	8	1	7	1	4	4	October	6, 1998	Gregory			
		5	8	4	3	0	0	0	December 1998	er 1,	Nishioka et al.	1		
		6	0	5	3	6	1	3	April 25,	2000	Wei et al.			
		6	0	0	4	3	1	4	Decembe	er 21,	Wei et al.			
		4	2	9	5	7	3	8	October :	20, 1981	Meltz et al. **			
		4	3	0	0	8	1	6	Novemb		Snitzer et al. **			
		4	7	7	0	4	9	2	Septemb	er 13,	Levin et al. **			1

 						<u>I</u>	ORE	EIGN PATENT DOCU	JMENT				
			Docun	nent N	0.			Date	Country	Class	SubClass	Transl Yes	ator No
	0	2	3	8	0	4	0	May 16, 2002	WIPO**				
 	1	4	2	6	7	9	9	June 9, 2004	European **				
1	9	5	4	2	9	5	5	May 22, 1997	Germany **				
 0	3	0	6	2	8	0	2	July 31, 2003	WIPO**				
	9	5	3	3	9	7	1	December 14, 1995	WIPO**				

1988

**	Reference	s cited	in	Intern	ationa	l Sea	rch R	eport	

Examiner

International Written Opinion for International Patent application No. PCT/US2005/043951.

Date Considered

<sup>\*</sup> Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 2 of 2

Atty. Docket No.
036140/US – 475387-00020

Applicant(s)
Guillermo J. Tearney

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Form PTO-1449 U.S. Department of Commerce

(REV. 2-82) Patent and Trademark Office

(Use several sheets if necessary)

Filing Date Group
January 26, 2004 3737

International Search Report for International Patent application No. PCT/US2005/043951.
Erdelyi et al. "Generation of diffraction-free beams for applications in optical microlithography", J. Vac. Sci. Technol. B 15 (12), Mar/Apr 1997, Pages 287-292.
International Search Report for International Patent application No. PCT/US2005/023664.
 International Written Opinion for International Patent application No. PCT/US2005/023664.
Tearney et al., "Spectrally encoded miniature endoscopy" Optical Society of America; Optical Letters Vol. 27, No. 6, March 15, 2002; pages 412-414
Yelin et al., "Double-clad Fiber for Endoscopy" Optical Society of America; Optical Letters Vol. 29, No. 20, October 16, 2005; pages 2408-2410
International Search Report for International Patent application No. PCT/US2001/049704.
International Search Report for International Patent application No. PCT/US2004/039454.
International Written Opinion for International Patent application No. PCT/US2004/039454.
PCT International Preliminary Report on Patentability for International Application No. PCT/US2004/038404 dated June 2, 2006

4825-6817-8945\1

Examiner Date Considered

<sup>\*</sup> Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.